Feature Selection Techniques to Counter Class Imbalance Problem for Aging Related Bug Prediction

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ISEC 2018 [9-11 February 2018, Hyderabad, India]
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Aging-Related Bugs (ARBs)

Error-conditions Associated with Software Aging

Aging-Related Bugs (ARBs) are defined as those bugs which are caused by error-conditions associated with software aging.

System crash or hang due to gradual depletion of resources (such as memory leak in a web server) [7][9][8]

Happens when the execution time or period of the system is long

Error conditions like memory leakage or unreleased files/locks that accumulate over period of time before failure is triggered [7][9][8].
Problem Encountered by Software Developers

Hard to Uncover Aging Related Bugs

Non-trivial to replicate such bugs

Our Motivation: Early prediction of such bugs and identification of files or classes in a software system

Recent research shows that static source code metrics can be used as predictors for aging related bugs within a machine learning framework [7][9][8].
Technical Challenges

Imbalanced Data

Class of interest is in minority which poses technical challenges in building an effective classifier.

Class of interest (aging related bugs) has only 1% instances in comparison to 99% instances of the majority class - the dataset is considered to be highly imbalanced.
Technical Challenges

High-Dimensional Data

High dimensional feature space consisting of irrelevant and redundant features decreases the performance of the classifiers [11] [12] [19]

Presence of large number of features (in our case, static source code features) poses intrinsic challenges to machine learning classification algorithms
Prediction Problem and Automation

Which classes or files in a given object oriented software system are fault-prone from the perspective of aging related issue or likely to contain gaining related defect?

Aim: building tool support for software developers for identifying aging related fault-prone classes
Research Questions - RQ1 and RQ2

**RQ 1:** Is there a statistically significant difference in the prediction performance of five different feature ranking techniques? Which feature ranking technique(s) yields the best result?

**RQ 2:** Does removing a significant percentage of features improves the predictive performance substantially or does the model performance remains similar even after applying feature ranking or selection techniques?
RQ 3: Is there a statistically significant difference in the prediction performance of five different techniques applied to address the class imbalance problem? Which of the five technique(s) yields the best result?

RQ 4: What is the relative performance of the five different types of learning algorithms and classifiers in-terms of the AUC, accuracy and f-measure metrics? Is there a statistically significant difference in the prediction performance of five different classifiers?
Related Work - 1

Cotroneo et al. [9]
Cotroneo et al. presents analysis of software gaining phenomenon at the Operating System (OS) level and investigate the software aging sources inside the Linux OS kernel [9].

Qin et al. [22]
Qin et al. investigate if aging related bugs can be identified using cross-project prediction approaches [22].
Cotroneo et al. [7]

Cotroneo et al. conduct an empirical study to investigate the relationship between static features of the software and aging [7].

Wang et al. [27]

Wang et al. study the issue of if and how class imbalance learning methods can benefit software defect prediction with the aim of finding better solutions [27].
The study presented in this paper is the first study on the application of five different feature selection techniques, five different strategies to counter the effect of imbalance data and five different machine learning algorithms for predicting aging related bugs in seven sub-systems of two large open-source software projects using 82 source code metrics as predictors.
We conduct a series of experiments to measure the performance of various techniques using metrics such as accuracy, f-measure and AUC and present results showing the effectiveness of various approaches.

We frame four research questions and conduct statistical significance test to answer the stated research questions on identifying the best feature selection technique, imbalance learning strategy and classification algorithm.
There are four main steps in the proposed approach and framework.

The four steps are: (1) Feature ranking and selection (2) Learning from imbalanced dataset (3) Predictive model building using machine learning classifiers (4) Classifier evaluation using performance metrics and conducting statistical significance test.
Multi-Step Process

Dataset (Source Code Metrics)

Dimensionality Reduction

Feature Selection Techniques

OneR
Information Gain
Gain Ratio
RELIEF
Sym. Uncertainty

Over-Sampling
Under-Sampling
SMOTE
SMOTE Boost
RUSBoost

Data Sampling Techniques

Dataset (Source Code Metrics)

Balancing Data

Logistic Regress.
Naïve Bayes
K-NN
MLP
SVM

Learning Algorithms

AUC
Accuracy
F-Measure
T-Test

Performance Metrics

Classification, Training, Testing, Evaluation

Statistical Significance Test

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Aging Related Bug Prediction
Feature Ranking and Selection

Reduce dimensionality of input

Reduce the number of redundant and irrelevant features and noise in the data

Higher ranked features have better prediction capability than the features which are lower ranked

We apply five different feature selection approaches: OneR, Information Gain (IG), Gain Ratio (GR), RELEIF (RF) and Symmetric Uncertainty (SU).
Learning from Imbalanced Dataset

Issue of class imbalance

Two approaches: data-level and classification algorithm level.

We apply five different techniques to address the class imbalance problem: Random Under-sampling (USAM), Random Oversampling (OSAM), SMOTE, SMOTEBest and RUSBoost.

The data level approach consists of oversampling the rare or minority class (the class which is of interest) or under-sampling the majority class.
Learning Algorithms

Classifiers and Predictive Models

We apply five different types of learning algorithms

We apply both classical algorithms as well as relatively modern and new statistical algorithms

There is a wide variance in the performance of the various learning algorithms on the same dataset.
Performance Evaluation

Measuring effectiveness of a classifier

We use Area under the ROC (Receiver Operating Characteristics) Curve (AUC), f-measure and accuracy to measure classifier performance.

We use f-measure as it incorporates both precision and recall.

We use AUC as AUC has an attractive property of being insensitive to changes in the class distribution [26].
Experimental Dataset

We use a publicly available dataset available at tera-PROMISE Repository\textsuperscript{a} for our experiments.

We conduct experiments on a dataset containing information on aging-related bugs for two large, complex and long-living software systems.

The two open-source projects are the Linux kernel and the MySQL DBMS

\textsuperscript{a}http://openscience.us/repo/
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</table>
The dataset by Roberto et al. [20] has been used in the past for building aging-related defect prediction models.

The dataset contains several types of metrics (82 metrics - such as program size related metrics, McCabe’s Cyclomatic complexity, Halstead metrics and aging-related metrics [20].
Feature Ranking Results - 1

We select top $k$ features which is a logarithmic function of the total number of features.

Rank of the feature UniqueDerefSet is 1 for Projects 1, 2 and 4 when OneR feature ranking technique is applied.

Some feature which are ranked highly across projects and across feature selection techniques while there are some features which are ranked higher for a particular project or ranking technique.
### OneR Feature Ranking Results - 1

<table>
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<tr>
<th>Feature</th>
<th>AUC Unsersampling</th>
<th>Accuracy Unsersampling</th>
<th>AUC Oversampling</th>
<th>Accuracy Oversampling</th>
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## OneR Feature Ranking Results - 2

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Feature Ranking Results - 2

Different feature selection techniques produces different output

Few metrics are highly ranked for some projects while the same metrics is ranked low for other projects within the context of a particular feature selection technique

CountDeclMethod and CountDeclMethodAll are ranked highly for Projects 1, 2 and 4 but low for Projects 5, 6 and 7.
There are 7 projects, 5 different techniques for feature selection, 5 strategies for imbalance learning and 5 learning algorithms.

Mean AUC value of USAM is best in comparison to the other imbalance learning strategies.

According to the f-measure value, SMOTEBoost performs best followed by RUSBoost.
Relative Comparison of Techniques - 2

SVM has a mean AUC value of 0.71. The mean AUC value of NBC is 0.69 and the mean AUC value of LOGR, MLP and KNN is 0.68 each.

SVM performance best followed by NBC.

AGR and RF performs equally well in-terms of the mean AUC value.

In terms of the f-measure value, IG, GR, RF and SU performs equally well having a mean value of 0.93.
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Aging Related Bug Prediction
### Descriptive Statistics - AUC

<table>
<thead>
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<th>Method</th>
<th>Min</th>
<th>Max</th>
<th>Mean</th>
<th>Median</th>
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<td>0.71</td>
<td>0.20</td>
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<td>0.90</td>
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</table>
From Boxplot: median AUC value of USAM is higher than the corresponding values for other imbalance learning techniques.

The inter-quartile range (the middle box representing the middle 50% of the values of the variable) range for GR is more than the corresponding values for OneR, IG, RF, SU and ALL.
The boxplot for the AUC values for NBC and MLP is comparatively tall and hence shows that there is more variation in the AUC value obtained from 125 executions.

The span between the first and third quartile is more for SMOTE and SMOTEBoost than USAM, OSAM and RUSBoost.

the span between the first and third quartile for RF and ALL is more for than the span for OneR, IG, GR and SU.
We apply the nonparametric Wilcoxon signed-rank test with a Bonferroni correction to compare whether the two populations are different.

A red dot means that $H_0$ is rejected and a green dot means that $H_0$ is accepted.

We use a standard cut-off of 0.05 (the null hypothesis is rejected when $p < 0.05$ and not rejected when $p > 0.05$).
Red Dot - $H_0$ is Rejected
Hypothesis Testing
Our analysis reveals several red dots which shows that there is a statistically significant difference between the performances of the various techniques.

There is a **statistically significant difference between SMOTEBest and RUSBoost, OSAM and USAM and USAM and SMOTEBest**.

We observe that the null hypothesis $H_0$ is rejected for 3 out of 10 significance tests involving pairwise comparison between the imbalance learning techniques.
There is a statistically significant difference between 3 out of 10 pairwise comparisons (5 different techniques excluding the all metrics).

There is a statistically significant difference between OneR and Gain Ratio, OneR and RELIEF and OneR and Symmetric Uncertainty (SU).

In-terms of the f-measure also GR and RF performs best but IG and SU also performs equally well.
Answer - Research Question 2

There is no statistically significant difference between the performance of the all metrics (no filter selection technique) and the 5 different types of feature selection technique according to the Wilcoxon signed-rank test.

However, based on the 125 data points, feature selection technique results in performance improvement in comparison to all metrics.
There is a statistical difference between SMOTEBest and RUSBoost, OSAM and USAM and USAM and SMOTEBest.

According to the f-measure value, SMOTEBest performs best followed by RUSBoost. According to AUC, USAM performs best followed by RUSBoost.
Answer - Research Question 4

There is no statistically significant difference between the performance of 5 different classification algorithm according to the Wilcoxon signed-rank test.

SVM performs best in-terms of f-measure and AUC. NBC performs second best in-terms of AUC.
Our main conclusions are that static source code metrics can be used as predictors for aging related bugs.

Not all source code metric are equally important as several metrics are redundant and irrelevant.

Some of the feature ranking techniques for dimensionality reduction improves the performance of the predictive model.
Key Takeaways - 2

SMOTEBest performs best followed by RUSBoost and there is a statistically significant difference between these techniques and other imbalance learning approaches.

No statistically significant difference between the performance of 5 different classification algorithm according to the Wilcoxon signed-rank test.


References II


References III


References V


References VI

